

Attorney Docket No. 60072-0926

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gavin Peacock, et al.	)	Confirmation No.: NYA
	)	Examiner: NYA
Serial No.: 10/622,880	)	Group Art Unit No.: NYA
Filed on: July 18, 2003	)	

For: METHOD AND APPARATUS FOR UNIFIED  
EXTERNAL AND INTERPROCESS COMMUNICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The references were cited during the prosecution of the parent application, serial number 09/113,452, which is now U.S. Patent No. 6,601,111, and during the prosecution of its parent application, serial number 08/790,518, which is now U.S. Patent No. 5,900,875. Enclosed is a copy of Information Disclosure Citation Form PTO-1449 and it is respectfully requested that the cited documents be considered and be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X 37 C.F.R. §1.97(b).

37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

— A statement pursuant to 37 C.F.R. §1.97(e)

— 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

— 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

— A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

— 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

— A statement pursuant to 37 C.F.R. §1.97(e)

— 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

— 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

— A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

— 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: October 9, 2003



Marcel K. Bingham  
Reg. No. 42,327

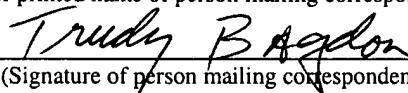
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on  
October 9, 2003  
(Date of Deposit)

Trudy Bagdon

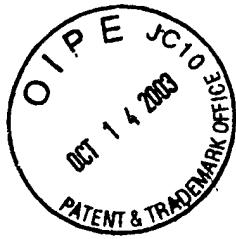
(Typed or printed name of person mailing correspondence)



(Signature of person mailing correspondence)

## **INFORMATION DISCLOSURE CITATION IN AN APPLICATION**

(PTO-1449)



ATTY. DOCKET NO.  
**60072-0926**

SERIAL NO.  
**10/622,880**

**APPLICANT:**  
**Gavin Peacock, et al.**

FILING DATE:  
July 18, 2003

**GROUP:  
NYA**

## **U.S. PATENT DOCUMENTS**

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
		3,744,034		Paul	07/03/1973	
		5,396,630		Banda, et al.	03/07/1995	
		5,557,798		Skeen, et al.	09/17/1996	
		5,602,997		Carpenter, et al.	02/11/1997	
		5,630,148		Norris	05/13/1997	
		5,864,862		Kriens, et al.	01/26/1999	
		5,900,875		Haitani, et al.	05/04/1999	

## **FOREIGN PATENT DOCUMENTS**

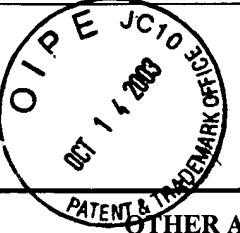
FOREIGN PATENT DOCUMENTS							
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>				

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: <b>60072-0926</b>	Application Number: <b>10/622,880</b>
		First Named Inventor: <b>GAVIN PEACOCK, et al.</b>	
		Filing Date: <b>July 18, 2003</b>	

**OTHER ART – NO PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		KRAMER, M., et al., "Use of Two-Way Wireless Messaging for Personal Telephone Management", IEEE, 1993, pp. 743-748	
		GRAY, R. "Efficient MC68HC08 Programming: . . ." Dr. Dobb's Journal, V20, n5, p. 70(5), 1995	
		RULEY, J., "Handheld-to-handheld Combat," Windows Magazine, 1997, n811, p. 55	
		FORBES, J., et al., "Palm PCs get a Big Hand," Windows Magazine, 1998, n905, p. 96	
		BURSKY, D., "Evolving DSP chips to more," Electronic Design, v38, n23, p. 51(8), 1990	
		FIEGEL, C.P., "IBM, Motorola Preview embedded PowerPCs; . . .," Microprocessor Report, v8, n6, p. 1(5)	
		PENWARDEN, M., "More muscle for HP's Omnibook," Window Magazine, 1994, n 501, pg 110	

Examiner Signature		Date Considered	
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